# POLICY AND PROCEDURE ON GRIEVANCES

1. PURPOSE

The purpose of this policy is to promote service recipient right by providing persons served and/or legal representatives with a simple process to address complaints or grievances.

1. POLICY

Each person served and/or legal representative will be encouraged and assisted in continuously sharing ideas and expressing concerns in informal discussions with management staff and in support team meetings. Each concern or grievance will be addressed and attempts will be made to reach a fair resolution in a reasonable manner.

Should a person and/or legal representative feel an issue or complaint has not or cannot be resolved through informal discussion, they should file a formal grievance. Staff and persons served and/or legal representatives will receive training regarding the informal and formal grievance procedure. This policy will be provided, orally and in writing, to all persons served and/or legal representatives. If a person served and/or legal representative feel that their formal complaint has not or cannot be resolved by other staff, they may bring their complaint to the highest level of authority in the program, the Executive Director, who may be reached at the following:

Name: Tim Schmutzer

Address: PO Box 126, Sandstone, MN 55072

Telephone Number: 320-245-2246

The company will ensure that during the service initiation process that there is orientation for the person served and/or legal representative to the company’s policy on addressing grievances. Throughout the grievance procedure, interpretation in languages other than English and/or with alternative communication modes may be necessary and will be provided upon request. If desired, assistance from an outside agency (i.e. ARC, MN Office of the Ombudsman, local county social service agency) may be sought to assist with the grievance.

Persons served and/or legal representatives may file a grievance without threat or fear of reprisals, discharge, or the loss of future provision of appropriate services and supports.

1. PROCEDURE
2. All complaints affecting a person’s health and safety will be responded to immediately by the Designated Coordinator.
3. Direct support staff will immediately inform the Designated Coordinator of any grievances and will follow this policy and procedure. If at any time, staff assistance is requested in the complaint process, it will be provided. Additional information on outside agencies that also can provide assistance to the person served and/or legal representative are listed at the end of this procedure.
4. If for any reason a person served and/or legal representative chooses to use the formal grievance process, they will then notify in writing or discuss the formal grievance with the Designated Coordinator will initially respond in writing within 7 calendar days of receipt of the complaint.
5. If the person served and/or legal representative is not satisfied with the Designated Coordinator response, they will then notify in writing or discuss the formal grievance with the Operations Director/Division Director or the Associate Executive Director, who will then respond within 7 calendar days.
6. All complaints must and will be resolved within 30 calendar days of receipt of the complaint. If this is not possible, the Executive Director will document the reason for the delay and the plan for resolution.
7. If the person served and/or legal representative believe their rights have been violated, they retain the option of contacting the county's Adult or Child Protection Services or the Department of Human Services. In addition, persons may contact advocacy agencies (listed at the end of this policy) and state they would like to file a formal grievance regarding their services, provider company, etc.
8. As part of the complaint review and resolution process, a complaint review will be completed by the Operations Director/Division Director/Associate Executive Director or the Executive Director and documented by using the *Internal Review* form regarding the complaint. The complaint review will include an evaluation of whether:
9. Related policies and procedures were followed.
10. The policies and procedures were adequate.
11. There is a need for additional staff training.
12. The complaint is similar to past complaints with the persons, staff, or services involved.
13. There is a need for corrective action by the company to protect the health and safety of persons served.
14. Based upon the results of the complaint review, the company will develop, document, and implement a corrective action plan designed to correct current lapses and prevent future lapses in performance by staff or the company, if any.
15. A written summary of the complaint and a notice of the complaint resolution to the person served and/or legal representative and case Designated Coordinator will be provided by using the *Complaint Summary and Resolution Notice* form. This summary will:
16. Identify the nature of the complaint and the date it was received.
17. Include the results of the complaint review.
18. Identify the complaint resolution, including any corrective action.
19. The *Complaint Summary and Resolution Notice* will be maintained in the service recipient record.

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| **Outside Agency Name** | **Telephone Number** | **Address and Email Address** |
| ARC MN | (651) 523-0823(800) 582-5256 | 770 Transfer Road, Suite 26, St. Paul, MN 55114[www.thearcofminnesota.org](http://www.thearcofminnesota.org)mail@arcmn.org |
| ARC Greater Twin Cities | (952) 920-0855 | 2446 University Ave W, Suite 110, St. Paul, MN 55114[www.arcgreatertwincities.org](http://www.arcgreatertwincities.org)info@arcgreatertwincities.org |
| ARC Northland | (218) 726-4725 | 424 W Superior St, Suite 201, Duluth, MN 55802[www.arcnorthland.org](http://www.arcnorthland.org)cbourdage@arcnorthland.org |
| Disability Law Center/Legal Aid Society | (612) 332-1441 | 430 1st Ave North, Minneapolis, MN 55401[www.mndlc.org](http://www.mndlc.org)website@mylegalaid.org |
| MN DHS-Licensing  | (651) 431-6500 | 444 Lafayette Road, St. Paul, MN 55115[www.mn.gov/dhs/general-public/licensing/](http://www.mn.gov/dhs/general-public/licensing/)dhs.info@state.mn.us |
| MN Office of the Ombudsman for Families (and Children) | (651) 603-0058(651) 643-2539 Fax1-888-234-4939 | 1450 Energy Drive, Suite 106St. Paul, Minnesota 55108http://mn.gov/ombudfam/ |
| MN Office of the Ombudsman for MH/DD | (651) 757-1800(800) 657-3506 | 121 7th Place East, Suite 420, Metro Square Building,St. Paul, MN 55101www.ombudmhdd.state.mn.usombudsman.mhdd@state.mn.us |
| MN Office of the Ombudsman for Long-Term Care | (651) 431-2555(800) 657-3591 | P.O. Box 64971, St. Paul, MN 55164[www.dhs.state.mn.us/main](http://www.dhs.state.mn.us/main)dhs.info@state.mn.us |
| Pine County Child Protection | 320-591-1570 or 1-800-450-7463 | **635 Northridge Drive NWPine City, MN  55063**http://www.co.pine.mn.us/ |

**MN Area on Aging:**

**Please select the specific row (below) for applicable telephone number or address based upon your location**

|  | **MN Area on Aging** | **Telephone Numbers** | **Address and Email Address:****http://mn4a.org/aaas/** |
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| 1. | Arrowhead Area Agency on Aging | Main: 218-722-5545Toll Free: 1-800-232-0707Fax: 218-529-7592 | 221 West 1st StreetDuluth, Minnesota 55802Serves: Aitkin, Carlton, Cook, Itasca, Koochiching, Lake & St. Louis counties. |
| 2. | Central MN Council on Aging | Main: 320-253-9349Fax: 320-253-9576 | 1301 W St. Germain Street, SESt. Cloud, Minnesota 56301-3456Serves: Benton, Cass, Chisago, Crow Wing,Isanti, Kanabec, Mille Lacs, Morrison, Pine,Sherburne, Stearns, Todd, Wadena, & Wright counties. |
| 3. | Land of the Dancing Sky Area Agency on Aging | Main: 218-745-6733 | 109 South Minnesota StreetWarren, Minnesota 56762Serves: Becker, Beltrami, Clay, Clearwater, Douglas,Grant, Hubbard, Kittson, Lake of the Woods, Mahnomen,Marshall, Norman, Otter Tail, Pennington, Polk, Pope,Red Lake, Roseau, Stevens, Traverse & Wilkin. |
| 4. | Metropolitan Area Agency on Aging | Main: 651-641-8612Fax: 651-641-8618 | 2365 N McKnight Road, Suite 3North St. Paul, Minnesota 55109Serves: Anoka, Carver, Dakota, Hennepin, Ramsey,Scott, & Washington counties |
| 5. | MN Chippewa Tribe Area Agency on Aging | Main: 218-335-8586Toll Free: 1-888-231-7886Fax: 218-335-8080 | PO Box 27Cass Lake, Minnesota 56633Serves: Bois Forte, Grand Portage, Leech Lake, & White Earth reservations |
| 6. | MN River Area Agency on Aging | Mankato Office:Main: 507-389-8879Fax: 507-387-7105Slayton Office:Main: 507-836-8547Fax: 507-836-8866 | ***Mankato Office***10 Civic Center Plaza, Suite 3PO Box 3323Mankato MN 56002-3323***Slayton Office***2401 Broadway Avenue, Suite 2Slayton, MN  56172-114Serves: Big Stone, Blue Earth, Brown, Chippewa, Cottonwood,Faribault, Jackson, Kandiyohi, Lac Qui Parle, Le Sueur, Lincoln, Lyon, Martin, McLeod, Meeker, Murray, Nicollet, Nobles, Pipestone, Redwood, Renville, Rock, Sibley, Swift, Waseca, Watonwan, & Yellow Medicine counties. |
| 7. | Southeastern MN Area Agency on Aging | Main: 507-288-6944Fax: 507-288-4823 | 421 SW First Avenue, Room 201Rochester, Minnesota 55902Serves: Dodge, Fillmore, Freeborn, Goodhue, Houston, Mower,Olmsted, Rice, Steele, Wabasha, & Winona counties |